

EMERGING COMPLIANCE EXPOSURES IN FINANCIAL INDUSTRY

For registration of public scheduled session, [click here](#).
To organize a tailored session for your organization, please contact us at email@salmonthrust.com



transparency

Policies

Requirements



Terms

TRAINING FOCUS 4 RRCE hours

The emerging compliance exposures highlight the potential risks and weakness in the financial industry that precipitates from the advancement of technology and greater access to international banking facilities. This course provides the key considerations to these emerging compliance risks that have developed over the recent years and prepares the financial professionals the awareness and challenges that needs to be addressed.

WHO SHOULD ATTEND

- Financial advisers
- Relationship manager
- Private banker
- Research analysts
- Portfolio managers
- M&A specialists

½ DAY | 4 CPD HOURS

RECOMMENDED FOR
**PRIVATE BANKING &
WEALTH MANAGEMENT**

COURSE OBJECTIVES

- Identify and assess the key compliance exposures in financial industry
- Understand the minefields of digitalisation for financial professionals and customers
- Be aware and handle the risks of financial intrusions to financial systems and the customers
- Understand the cross-border challenges of compliance and limitations imposed by the current pandemic
- Be aware of the scope of cybersecurity and the technological challenges to maintain its integrity by financial professionals

COURSE OUTLINE

Compliance Exposure Management

- Identify key compliance exposures in the financial industry
- Manage compliance exposures
- Be aware on how these exposures might develop and change in due course

Financial Crimes

- Exposures to financial crime
- A case study for consideration
- Approach to combat financial crimes

Digitalisation and Banking

- Understand the need for acceleration to digital banking
- Challenges of adopting new banking behaviour
- Concerns on compromise and compliance
- Issues with cross-border compliance

Social Engineering

- Characteristics of social engineering
- What are the common social engineering events
- Defences against social engineering attacks

Phishing

- Characteristics of phishing
- Common phishing techniques used
- Defences against phishing

Technology Reliance

- Emerging trends associated with financial professionals in technology
- Risk associated with relying on technology
- Approach on key technology maintenance

ABOUT OUR TRAINER

KHYRON GOH CA, FCCA, SIATP

Khyron Goh (Kai) is the co-founder of Kaiden Group. At Kaiden, Kai heads the Tax and Anti-Money Laundering cum Counter-Financing of Terrorism (AML/CFT) Compliance Department. He specialises in Tax advisory for the high net-worth individuals and regional taxation in the Asia Pacific region. He also advises on the AML/CFT and CRS compliance for clients.

Kai has a passion for education and has more than 15 years of experience in imparting knowledge in the areas of tax and compliance. Previously, he was a lecturer/speaker for the Certified Accountants (ACCA) Program, University of Bedfordshire, Institute of Singapore Chartered Accountants (ISCA), as well as the Singapore Association of the Institute of Chartered Secretaries (SAICSA) professional certification. Kai is currently a very much sought after speaker by many private banks and various other institution. Being an actual practitioner, he is able to utilize up-to-date actual case studies in his delivery to his audience.

He holds a degree in Applied Accounting and is a Chartered Accountant of Singapore (CA) and Chartered Accountant of ASEAN (ASEAN CPA). He is also a fellow member (FCCA) for the Association of Chartered Certified Accountants (ACCA) and holds an Accredited Tax Advisor qualification with the Singapore Institute of Accredited Tax Professionals (SIATP).

In addition, Kai also holds a diploma in Anti-Money Laundering from the International Compliance Association (ICA).

FTS

FINANCIAL TRAINING SCHEME (FTS)

This programme is recognised under the Financial Training Scheme (FTS) and is eligible for FTS claims subject to all eligibility criteria being met.

Please note that in no way does this represent an endorsement of the quality of the training provider and programme. Participants are advised to assess the suitability of the programme and its relevance to participants' business activities or job roles.

The FTS is available to eligible entities based on the prevalent funding eligibility, quantum and caps. FTS claims may only be made for recognised programmes with specified validity period. Please refer to www.ibf.org.sg for more information.

CPD

SALMON THRUST CPD SERIES

The Salmon Thrust CPD Series is aimed at raising the competencies of the Covered Persons and satisfying their CPD hours in the most fruitful and entertaining way. Our trainers wastes no time on academic principles but dives straight into the practical applications of the subject.

Requirement

Audit

COMPLIANCE

ABOUT SALMON THRUST

Founded in 2004, Salmon Thrust is committed to delivering real-world banking and financial training to professionals working in banks, corporates, government agencies, and other financial institutions.

Based in Singapore, we offer our clients in the region a broad range of up-to-date financial topics, delivered via classroom training and e-learning. Our courses range from foundational programmes for new entrants, right through to the most complex and current topics in the industry.

At Salmon Thrust, we are attentive to our clients' diverse learning needs. We identify relevant courses to bridge the learning and skill gaps, empowering our clients to make better decisions and bring real value to both their customers and organisations.