

KNOW YOUR TECHNOLOGICAL AND CYBER RISK EXPOSURE

CODE P190930OZC

VALIDITY PERIOD 18 Jan 2020 - 17 Jan 2022

TRAINING FOCUS Regulatory/Compliance Training (4 hrs)

Located at the heart of Asia, Singapore offers global investors unparalleled access to the Asian markets – one of the world's fastest-growing regions. Besides having a strategic geographical location, its excellent business infrastructure, global connectivity and a robust legal and juridical framework enable investors to access the Asian market. This translates into a strong reliance on financial technology, cyber technology and other new avenues to access into this competitive market. Financial Institutions in Singapore have kept up with the pace of a modern commerce. Understanding your exposures to the strong reliance on technological development becomes a key aspect for financial advisers who are expected to help their financial institutions to safeguard from all kinds of technology related threats. This course aims to provide the inside out of the threats associated to technological reliance, cyber usage and emerging modern commerce. These important aspects are learnt thru interactive activities such as case study and group participation in responding to stimulated situation.

½ DAY | 4 CPD HOURS

RECOMMENDED FOR
**PRIVATE BANKING &
WEALTH MANAGEMENT**

WHO SHOULD ATTEND

- Financial advisers
- Investment advisers / consultants
- Relationship manager
- Assistant relationship manager
- Private banker
- Client on-boarding specialists
- Product / Investment specialists

COURSE OBJECTIVES

- Understand technological risk expose in a typical financial institution
- Understand the regulatory requirements and expectations on financial institution.
- Understand how technological risk can be managed in a typical financial institution.
- Acquire techniques for financial adviser to help their financial institution in managing technological risk

COURSE OUTLINE

Technological Risk in a Typical Financial Institution

- Identify critical systems
- Risk awareness within the organisation
- Understand the evolving of technological risk over the years

Regulatory Obligations

- Understand the regulatory framework in Singapore associated to technological risk
- Synopsis of MAS Risk Management Guidelines
- Understand the implications and expectations from MAS

Evaluating Technological Risk in a Typical Financial Institution

- Assessing the issues associated to a system failure
- Assessing the chances and likely events for a cyber security breach
- Assessing the possibility and likely events associated to external phishing technique for identity theft
- Financial adviser's role in the technological risk management preparation

Managing Technological Risk in a Typical Financial Institution

- Crisis management associated to a system failure
- Overcoming customer's confidence after a cyber attack
- Preventing external phishing approach for identity theft
- Understand the regulatory requirements and expectations on financial institution.
- Financial adviser's role in the combating technological risk exposure

ABOUT OUR TRAINER

TEO PUAY WEI BSC FINANCE

Puay Wei possessed 15 years of working experience in the financial industry. He started his career in the late 1990s and held roles as chief compliance officer and chief risk officer. He specializes in risk management and has exposure to many key Asia Pacific markets.

At his various capacity, he has to deal with complex and non-routine regulatory and risk related matters associated to anti- money laundering and financing of terrorism, code of conduct & ethics, conflict of interest, anti-bribery & corruption, technology risk management, information security, and risk management framework. He has also been involved in training on these subjects.

Puay Wei holds a Bachelor of Science (Finance) from National University of Ireland, and a Diploma in Compliance specializing in Fund Management from International Compliance Training. He is a Financial Industry Certified Professional awarded by The Institute of Banking and Finance.

Puay Wei left his role as a Head of Risk, Asia Pacific for Aberdeen Asset Management Asia Ltd to start consulting and training work in the financial industry for the Asia Pacific region.

**FTS**

FINANCIAL TRAINING SCHEME (FTS)

This programme is recognised under the Financial Training Scheme (FTS) and is eligible for FTS claims subject to all eligibility criteria being met.

Please note that in no way does this represent an endorsement of the quality of the training provider and programme. Participants are advised to assess the suitability of the programme and its relevance to participants' business activities or job roles.

The FTS is available to eligible entities based on the prevalent funding eligibility, quantum and caps. FTS claims may only be made for recognised programmes with specified validity period. Please refer to www.ibf.org.sg for more information.

**CPD**

SALMON THRUST CPD SERIES

The Salmon Thrust CPD Series is aimed at raising the competencies of the Covered Persons and satisfying their CPD hours in the most fruitful and entertaining way. Our trainers wastes no time on academic principles but dives straight into the practical applications of the subject.

ABOUT SALMON THRUST

Founded in 2004, Salmon Thrust is committed to delivering real-world banking and financial training to professionals working in banks, corporates, government agencies, and other financial institutions.

Based in Singapore, we offer our clients in the region a broad range of up-to-date financial topics, delivered via classroom training and e-learning. Our courses range from foundational programmes for new entrants, right through to the most complex and current topics in the industry.

At Salmon Thrust, we are attentive to our clients' diverse learning needs. We identify relevant courses to bridge the learning and skill gaps, empowering our clients to make better decisions and bring real value to both their customers and organisations.