

Introduction to Financial Products for Back Office Officers

1-Day

INTRODUCTION

An understanding of financial products is becoming more essential to middle and back office staff as trade volumes and product sophistication increase. This combined with an increased awareness of pre-trade and processing cycles across staff will serve to lower error rates and drive growth in efficiency in day to day operations.

LEARNING OUTCOMES

- Understand the nature of a range of financial products
- Describe how various products are traded and the motivation driving parties to the trade
- Describe the flow of a trade from execution through to settlement and beyond
- Identify the fundamental issues in pre-trade, controlling and settlement
- Identify and discuss operations risk management problems

WHO SHOULD ATTEND

- Middle and Back Office staff and executives

COURSE OUTLINE

Module 1 – The Financial Services environment

- Market Participants
- Trade Motivation
- Primary and Secondary Markets

Module 2 – Financial Products

- Foreign Exchange
- Money Markets
- Bonds
- Equities
- Derivatives

Module 3 – The trade life cycle

- The trading environment
- Pre Trade
- Trade
- Post trade
- Settlement
- Post Settlement
- The people involved
- Technology