

# Salmon Thrust Exam Preparation

**CFA<sup>®</sup>** (Chartered Financial Analyst)  
**CAIA<sup>®</sup>** (Chartered Alternative Investment Analyst<sup>SM</sup>)  
**CFP<sup>®</sup>** (Certified Financial Planner)



# Salmon Thrust Exam Preparation



Our in-house exam preparation courses offer a highly cost effective training option for you. We can deliver all of our programs in your chosen location at a time which is convenient for you. For further information, please contact:

Tel: (65) 6323 0768 Email: [email@salmonthrust.com](mailto:email@salmonthrust.com)

## Chartered Financial Analyst (CFA<sup>®</sup>)

### Why enroll on the CFA Program?

Over 90,000 investment professionals globally have achieved chartered-holder status to date, the program is known for these benefits:

- Globally relevant – an international standard for measuring competence and integrity. Principles that are common to any market.
- Credible – praised and supported by the clients, employers and regulators; the investment community at large.
- Career-enhancing – demonstrate your commitment to knowledge and high ethical standard to employers and clients.
- Portable reputation – a charter remains a mark of quality, whether you change company or move country. Instant access to a global network of investment professionals.

#### Level I Topics

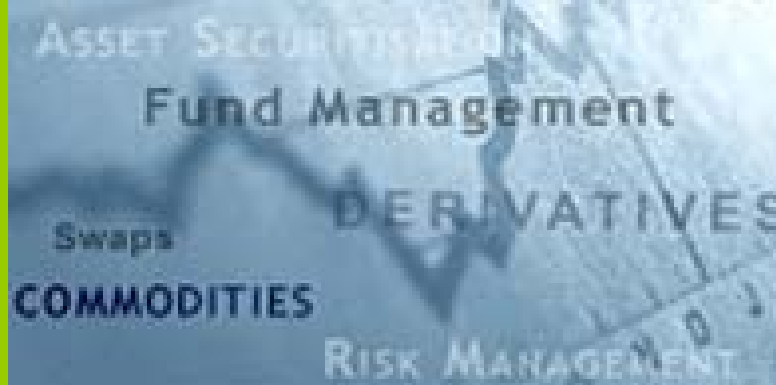
- Ethics & Professional Standards
- Quantitative Methods
- Financial Reporting & Analysis
- Portfolio Management
- Corporate Finance
- Securities Markets
- Alternative Investments
- Equity Investments
- Fixed Income
- Derivatives
- Economics

#### Level II Topics

- Ethics & Professional Standards
- Quantitative Methods
- Financial Reporting & Analysis
- Portfolio Management
- Corporate Finance
- Alternative Asset Valuation
- Equity Investments
- Fixed Income
- Derivatives
- Economics

#### Level III Topics

- Ethics & Professional Standards
- GIPS
- Private Wealth Management
- Portfolio Management for Institutional Investors
- Capital Market Expectations in Portfolio Management
- Asset Allocation
- Management of Passive & Active Fixed Income Portfolios
- Equity Portfolio Management
- Alternative Investments for Portfolio Management
- Risk Management
- Risk Management Applications of Derivatives
- Execution of Portfolio Decisions
- Monitoring & Rebalancing
- Portfolio Evaluation & Attribution



## Chartered Alternative Investment Analyst (CAIA®)

### What is CAIA®?

The CAIA® Charter is the professional designation for alternative investment specialists that demonstrates an individual's commitment to the alternative investment profession and mastery of its concepts and practices.

The designation is quickly becoming recognized by institutions, regulators, and investors as the seal of distinction in the alternative investment industry.

The CAIA® program is designed to provide finance professionals with an extensive foundation of knowledge in traditional and alternative investment vehicles, including hedge funds, venture capital, commodities, managed futures, real estate and private equity.

The CAIA® assures that employees have:

- A comprehensive alternative investment background
- The ability to gain and maintain client confidence and trust
- High standards of professionalism

The CAIA® program's diverse curriculum appeals to a broad group of candidates ranging in experience from the novice to the seasoned professional. Investment advisors, consultants and analysts, fund managers and administrators, accountants, lawyers, academics, as well as administrative staff and compliance and back office personnel.

### Level I

The Level I curriculum is broadly divided into two sections – quantitative methods and an introduction to alternative investments. Candidates study the application of basic analytic tools, as well as the fundamentals of alternative investment vehicles. Candidates learn to differentiate between the various AL trading strategies and performance measurements. Level I curriculum covers:

Quantitative Analysis   Regulatory Frameworks   Trading Strategies   Performance Measurement   Credit Derivatives

### Level II

The Level II curriculum is also broadly divided into two sections – alternative investments and Current and Integrated Topics. Both sections take a global perspective. Candidates must apply the skills and knowledge from Level I to gain a deeper understanding of issues involved in each of the areas of alternative investments. Current and Integrated Topics is updated annually to reflect the latest industry and research developments, such as new products and advances in risk management techniques. Level II curriculum covers:

Asset Allocation   Portfolio Management   Style Analysis   Risk Management   Structured Products   Indexation and Benchmarking   Current Topics and Case Analysis



## Certified Financial Planner (CFP<sup>®</sup>)

### What is the Certified Financial Planner (CFP<sup>®</sup>) Certification?

The Certified Financial Planner (CFP<sup>®</sup>) is the most highly sought after designation by professional financial planners worldwide. With Singapore becoming a leading wealth management hub, human capital will be the key to differentiation and business growth. The wealth management industry is a people-centric business, built on trusted advice and deep relationships.

The Certified Financial Planner (CFP<sup>®</sup>) certification process serves that purpose by defining what a financial planning professional is, establishing standards of professional practice and creating a “mark of quality” that consumers can recognize and to which practitioners can aspire.

### Module 1: Foundations in Financial Planning

The first module is a self-contained course (also referred to as the AFP<sup>CM</sup> Certification Program), covering a broad range of personal financial topics that form the foundation of the career of any financial services professional. The module is comprehensive and provides a complete overview of the financial/wealth planning process, a review of all the financial products and instruments, as well as a review of the legal and ethical and regulatory issues affecting financial planning in Singapore.

### Module 2: Risk Management and Insurance Planning

This module examines the principles of risk management and insurance to identify a client’s risk exposures and to select appropriate risk management techniques. The module reviews insurance contracts pertaining to both life and general products, and provides practical insurance checklists.

### Module 3: Tax Planning and Estate Planning

Taxes can affect various financial decisions and having knowledge of tax laws and related issues will greatly enhance the effectiveness of a financial/wealth planner. This module aims to increase a student’s knowledge and skills in the area of tax planning, by providing an overview of the major components of tax. Estate planning is a critical element of a complete financial plan and students are introduced to the process of developing an estate plan.

### Module 4: Investment Planning

Having the knowledge to evaluate and choose the right investments is basic to the implementation of a sound financial plan. This module reviews all categories of investments, client assessment techniques, economic factors, risk and return analysis, valuation methods, asset allocation techniques and portfolio management and performance evaluation methods

# Salmon Thrust Exam Preparation



## Module 5: Retirement Planning

As Singapore's population ages, it is critical that financial service professionals know how to advise clients properly to achieve a secure retirement. This module teaches retirement needs analysis techniques and the process to be used when developing a retirement plan. The Central Provident Fund (CPF) and other schemes will be reviewed.

## Module 6: Financial Plan Construction and Professional Responsibilities

This final module builds upon the earlier modules and integrates all aspects of personal finance that are required for constructing a comprehensive financial plan. Students will learn how to gather and analyze client data, develop, recommend and implement appropriate strategies, and finally the important aspects related to the ongoing assessment of financial plans. A review of the legal, ethical and regulatory issues affecting financial/wealth planners will also be covered.

### About Us

Salmon Thrust provides tailored financial training solutions to leading Asian clients. Being Asian for Asians, we understand that different cultures have their own needs in terms of product and knowledge, and in terms of their approach to client management.

Founded in 2004 to satisfy the demand for wealth management and related training programs in this part of the world, we develop and present seminars and workshops for executives working in corporates, investment banks, securities houses, firms of accountants and legal practices.

### In-house Training

Our in-house courses offer a highly cost effective training option for you and your clients. We can deliver all of our programs in your chosen location at a time which is convenient for you. For further information, please contact:

Tel: (65) 6323 0768

Email: [email@salmonthrust.com](mailto:email@salmonthrust.com)